

**Format of holding of specified securities**

1. Name of Entity: **National Securities Depository Limited**
2. Scrip Code/Name of Scrip/Class of Security: **Equity Shares**
3. Share Holding Pattern Filed under: ~~Reg. 31(1)(a)~~/**Reg. 31(1)(b)**/~~Reg.31(1)(c)~~ **for the quarter September 30, 2019**
  - a. If under 31(1)(b) then indicate the report for Quarter ending
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	<b>Particulars</b>	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledged or otherwise encumbered?		No

\* If the Listed Entity selects the option „No“ for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is “No” declared by Listed Entity in above table the values will be considered as “Zero“ by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-equity share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. (calculated per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of Convertible securities As a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as % of (A+B + C)	No.	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class : Y	Total								
(A)	Promoter & Promoter Group	3	2,27,72,000	-	-	2,27,72,000	56.93	2,27,72,000	-	2,27,72,000	56.93	-	56.93	-	-	2,27,72,000		
(B)	Public	18	1,72,28,000	-	-	1,72,28,000	43.07	1,72,28,000	-	1,72,28,000	43.07	-	43.07	-	-	1,72,28,000		
(C)	Non Promoter-Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(C1)	Shares Underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
	<b>Total</b>	<b>21</b>	<b>4,00,00,000</b>	<b>-</b>	<b>-</b>	<b>4,00,00,000</b>	<b>100</b>	<b>4,00,00,000</b>	<b>-</b>	<b>4,00,00,000</b>	<b>100</b>	<b>-</b>	<b>100</b>	<b>-</b>	<b>-</b>	<b>4,00,00,000</b>		



(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(f)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	-	<b>3</b>	<b>2,27,72,000</b>	-	<b>2,27,72,000</b>	<b>56.93</b>	<b>2,27,72,000</b>	-	<b>2,27,72,000</b>	<b>56.93</b>	-	<b>56.93</b>	-	-	-	<b>2,27,72,000</b>

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat / unclaimed suspense account, voting rights which are frozen etc.

**Note:**

(1) PAN would not be displayed on website.

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
							Class X	Class Y	Total								
<b>B(1)</b>	<b>Institutions</b>																
(a)	Mutual Funds/	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c)	Alternate Investment Funds	-	6	19,60,000	-	-	4.89	19,60,000	-	19,60,000	4.89	-	4.89	-	-	19,60,000	
	IIFL Special Opportunities Fund	-	1	442,103	-	-	1.11	442,103	-	442,103	1.11	-	1.11	-	-	442,103	
	India Alternatives Private Equity Trust – IAPE Fund II	-	1	400,000	-	-	1.00	400,000	-	400,000	1.00	-	1.00	-	-	400,000	
(d)	Foreign Venture	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(f)	Financial Institutions/ Banks	-	11	1,40,80,000	-	-	35.20	1,40,80,000	-	1,40,80,000	35.20	-	35.20	-	-	1,40,80,000	
	HDFC Bank limited	-	-	39,79,900	-	-	9.95	39,79,900	-	39,79,900	9.95	-	9.95	-	-	39,79,900	
	State Bank of India	-	-	20,00,000	-	-	5.00	20,00,000	-	20,00,000	5.00	-	5.00	-	-	20,00,000	
	Deutsche Bank A.G.	-	-	20,00,000	-	-	5.00	20,00,000	-	20,00,000	5.00	-	5.00	-	-	20,00,000	
	The Hongkong and Shanghai Banking Corporation Limited	-	-	12,50,000	-	-	3.13	12,50,000	-	12,50,000	3.13	-	3.13	-	-	12,50,000	
	Citibank N.A.	-	-	12,50,000	-	-	3.13	12,50,000	-	12,50,000	3.13	-	3.13	-	-	12,50,000	
	Standard Chartered Bank	-	-	12,50,000	-	-	3.13	12,50,000	-	12,50,000	3.13	-	3.13	-	-	12,50,000	

	Union Bank of India	-	-	11,25,000	-	-	2.81	11,25,000	-	11,25,000	2.81	-	2.81	-	-	11,25,000
	Canara Bank	-	-	9,18,000	-	-	2.30	9,18,000	-	9,18,000	2.30	-	2.30	-	-	9,18,000
(g)	Insurance Companies	-	1	11,88,000	-	-	2.97	11,88,000	-	11,88,000	2.97	-	2.97	-	-	11,88,000
	Kotak Mahindra Life Insurance Company Limited	-	-	11,88,000	-	-	2.97	11,88,000	-	11,88,000	2.97	-	2.97	-	-	11,88,000
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i)	Trust	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Any Other (specify) Foreign Direct Investment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	<b>Sub-Total (B)(1)</b>	-	<b>18</b>	<b>1,72,28,000</b>	-	-	<b>43.07</b>	<b>1,72,28,000</b>	-	<b>1,72,28,000</b>	<b>43.07</b>	-	<b>43.07</b>	-	-	<b>1,72,28,000</b>
(2)	<b>Central Government/ State Government(s)/ President of India</b>	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	<b>Sub-Total (B)(2)</b>	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(3)	<b>Non-institutions</b>	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a)	Individuals - i. Individual Shareholders holding nominal share capital upto Rs. 2 lakhs. ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	NBFCs registered with RBI	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (specify) HUF	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Bodies Corporates(Unlisted)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Bodies Corporates(Listed)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Trust	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	<b>Sub-Total (B)(3)</b>	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)( 3)</b>	-	<b>18</b>	<b>1,72,28,000</b>	-	-	<b>43.07</b>	<b>1,72,28,000</b>	-	<b>1,72,28,000</b>	<b>43.07</b>	-	<b>43.07</b>	-	-	<b>1,72,28,000</b>

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- PAN would not be displayed on website.
- The above format needs to be disclosed along with the name of following persons:  
Institutions / Non Institutions holding more than 1% of total number of shares
- W.r.t the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian

**Details of the shareholders acting as Persons Acting in Concert including their Shareholding (No. and %)**

Sr. No. (1)	Name of Shareholder (2)	Category of Shareholder (3)	Total Holding		Acting in concert with (6)	Category of shareholder (7)	Total holding of shareholder along with Person(s) acting in concert with	
			No. of Shares (4)	% of shares (5)			No. of shares [8=no. of shares of persons covered in column (6)]	% (rounded off to 2 decimals) (9)
1	Kotak Mahindra Bank	Public	62,000	0.16	Kotak Mahindra Life Insurance Company Limited	Public	12,50,000	3.13
2	Kotak Mahindra Life Insurance Company Limited	Public	11,88,000	2.97	Kotak Mahindra Bank	Public	12,50,000	3.13
3	IIFL Special Opportunities Fund	Public	442,103	1.11	IIFL Special Opportunities Fund – (Series 2), IIFL Special Opportunities Fund – (Series 3), IIFL Special Opportunities Fund – (Series 4), IIFL Special Opportunities Fund – (Series 5), India Alternatives Private Equity Trust – IAPE Fund II	Public	19,60,000	4.90
4	IIFL Special Opportunities Fund – (Series 2)	Public	282,836	0.71	IIFL Special Opportunities Fund , IIFL Special Opportunities Fund – (Series 3), IIFL Special Opportunities Fund – (Series 4), IIFL Special Opportunities Fund – (Series 5), India Alternatives Private Equity Trust – IAPE Fund II	Public	19,60,000	4.90
5	IIFL Special Opportunities Fund – (Series 3)	Public	138,369	0.35	IIFL Special Opportunities Fund , IIFL Special Opportunities Fund – (Series 2), IIFL Special Opportunities Fund – (Series 4), IIFL Special Opportunities Fund – (Series 5), India Alternatives Private Equity Trust – IAPE Fund II	Public	19,60,000	4.90
6	IIFL Special Opportunities Fund – (Series 4)	Public	389,361	0.97	IIFL Special Opportunities Fund , IIFL Special Opportunities Fund – (Series 2), IIFL Special Opportunities Fund – (Series 3), IIFL Special Opportunities Fund – (Series 5), India Alternatives Private Equity Trust – IAPE Fund II	Public	19,60,000	4.90
7	IIFL Special Opportunities Fund – (Series 5)	Public	307,331	0.77	IIFL Special Opportunities Fund , IIFL Special Opportunities Fund – (Series 2), IIFL Special Opportunities Fund – (Series 3), IIFL Special Opportunities Fund – (Series 4), India Alternatives Private Equity Trust – IAPE Fund II	Public	19,60,000	4.19
8	India Alternatives Private Equity Trust - IAPE Fund II	Public	400,000	1.00	IIFL Special Opportunities Fund , IIFL Special Opportunities Fund – (Series 2), IIFL Special Opportunities Fund – (Series 3), IIFL Special Opportunities Fund – (Series 4), IIFL Special Opportunities Fund – (Series 5)	Public	19,60,000	4.90





Table V- Statement showing details of significant beneficial owners

Sr .No	Details of the significant beneficial owner			Details of the registered owner			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect		Date of creation / acquisition of significant beneficial interest
	(I)			(II)			(III)		
	Name	PAN/Passport no. in case of foreign national	Nationality	Name	PAN/Passport no. in case of foreign national	Nationality	Whether by virtue of		
NIL									